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Surface runoff prediction and comparison using IHACRES and GR4J lumped models in the Mono catchment, West Africa

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Abstract. This study aims to assess simulated surface runoff before and after dam construction in the Mono catchment (West Africa) using two lumped models: GR4J (Rural Engineering with 4 Daily Parameters) and IHACRES (Identification of unit Hydrographs and Component flows from Rainfall, Evapotranspiration and Stream data) over two different periods (1964–1986 and 1988–2010). Daily rainfall, mean temperature, evapotranspiration and discharge in situ data were collected for the period 1964–2010. After the model's initialization, calibration and validation; performances analysis have been carried out using multi-objectives functions developed in R software (version 3.5.3). The results indicate that statistical metrics such as the coefficient of determination (R^2) , the Kling–Gupta Efficiency (KGE), the Nash–Sutcliffe coefficient (NSE) and the Percent of Bias (PBIAS) provide satisfactory insights over the first period of simulation (1964–1986) and low performances over the second period of simulation (1988–2010). In particular, IHACRES model underestimates extreme high runoff of Mono catchment between 1964 and 1986. Conversely, GR4J model overestimates extreme high runoff and has been found to be better for runoff prediction of the river only between 1964 and 1986. Moreover, the study deduced that the robustness of runoff simulation between 1964 and 1986 is better than between 1988 and 2010. Therefore, the weakness of simulated runoff between 1988 and 2010 was certainly due to dam management in the catchment. The study suggests that land cover changes impacts, soil proprieties and climate may also affect surface runoff in the catchment.

1 Introduction

Hydrological modelling is a veritable challenge in West Africa as in many regions in the world owing to limited data access and high percent of gaps in these data. Consequently, climate change impacts on water resource and management and surface runoff prediction become difficult (Komi et al., 2016). Furthermore, dam management, industrialization, irrigation and human activities influences hydrology processes in a watershed (Thompson et al., 2014). For example, land use and land cover play an important role in runoff generation and ground water process (Obahoundje et al., 2018). For example, in Mono catchment Koubodana et al. (2019) have mentioned that forest and savannah have decreased in the benefit of cropland from 1975 to 2013. In the same, catchment the studies such as Amoussou et al. (2012), Kissi et al. (2015), Lawin et al. (2019) and Ntajal et al. (2017) have concluded that the source of high discharge causing flooding in the downstream is not only due to climate change impacts but also to the regulation of the Nangbéto dam, land use and the social factors of the communities living in this catchment. Therefore, it is important to investigate discharge simulation over the catchment using different lumped models and also by considering land cover change constant for the period before Nangbéto dam construction and the period after dam installation in the catchment.

2 Geographic location of the study area

The study is conducted in Mono catchment located in West Africa (Fig. 1). It is a main river in Togo, which is shared with Benin country in its last kilometers in the south. This river is located between 06°16' and 9°20' N latitude and $0^{\circ}42'$ and $1^{\circ}40'$ E longitude. With a perimeter of 872 092 km, the catchment covers from Alédjo to Athiémé an area of 22 013.14 km² and with 88 % of it area in Togo country and the rest (12%) in Benin (PCCP, 2008). Its length of 308 773 km, Mono catchment has it source in Alédjo mountains in North of Benin before throwing in Atlantic Ocean by the lagoon system. The elevation of the catchment is range from 12 to 948 m (https://srtm.csi.cgiar.org/, last access: 3 June 2021). The watershed shelters the biggest dam of Nangbéto that produce 20 % of total hydroelectricity used by the two countries (Houessou, 2016). The climate is a subequatorial from 6 to 8° N and with two rainy seasons and two dry seasons. It totals 1200 to 1500 mm yr⁻¹ in the mountainous area of the South-West and only, 800 to $1000 \,\mathrm{mm}\,\mathrm{yr}^{-1}$ on the coastal zone. From 8 to 10° N the climate is tropical humid with one rainy season and one dry season (1000 to 1200 mm yr^{-1}). In the winter months (December to March), there is an anti-cyclonic high-pressure area Centered over the Sahara (Koubodana et al., 2020).

It drives the Harmattan, a desiccating, dusty wind that blows rather persistently from the northeast, drying out landscapes all the way to the coast. However, the hydrograph has one peak that indicates that river discharge is mostly controlled by upstream tributaries. The mean annual temperature ranges from 22 to 30 °C (CILSS, 2016; Speth et al., 2010). The population within the Mono catchment is around 3.5 million inhabitants (https://www.oieau.fr/, last access: 3 June 2021). The mains socio economic activities are agriculture, trade, fisheries and livestock husbandry.

3 Datasets and methods

3.1 Data used

Daily historical hydro-climatic time series including observation river discharge, rainfall, mean temperature and evap
 Table 1. Calibration and validation periods.

Sub- period	Warm up period	Calibration period	Validation period
Pre-dam	1 Jan to	1 Jan 1965 to	1 Jan 1969 to
	31 Dec 1964	31 Dec 1968	31 Dec 1973
Post-dam	1 Jan to	1 Jan 1989 to	1 Jan 1993 to
	31 Dec 1988	31 Dec 1992	31 Dec 1997

otranspiration collected at the gauge stations are used for this analysis. The datasets were collected at Direction General de l'Eau et Assainissement du Togo (DGEA-Togo), Direction General de l'Eau (DGEau-Benin), General Direction Générale de la Météorologie Nationale du Togo (DGMN-Togo) and Agence Nationale de la Météorologie du Bénin (METEO-Benin) and cover the period from 1964 to 2010. These data sets were initially used for quality control and initial analysis over the catchment by Amoussou et al. (2012) and Koubodana et al. (2020).

3.2 Methods

The mean average of rainfall at Athiémé have been computed by using Thiensen polygons methods of the 21 gauges stations (Fig. 1) in Quantum Geographic Information System (QGIS) (Koubodana et al., 2020; Thiessen, 1911).

The study period was divided first into two-sub period as rainfall breakpoint in 1987 (Koubodana et al., 2020) the predam installation period (1964–1986) and the post-dam installation period (1988–2010) (Table 1).

IHACRES model was used under Hydromad package in R and GR4J model under AirGR package in R. One year was used as model warm-up period in each sub period (Perrin et al., 2003). Calibration was performed for 4 years in each sub period and the same parameters from calibration were used for validation within 5 years after a reasonable compared models sensitivity analysis (Badjana et al., 2017). Four and five years are used with equal length of dry and wet year for calibration and validation periods. The sensitive analysis of IHACRES and GR4J models leading to select optimization parameters and ranges was based on the literature of the past studies done in the region and on Sobol method sensitivity analysis (Badjana et al., 2017; Kodja et al., 2018; Oyerinde et al., 2016).

Many multi-objective functions criterion were adopted in order to evaluate the model parameters optimization: coefficient of determination (R^2) (Dougherty et al., 2000), the Nash–Sutcliffe coefficient (NSE) (Nash and Sutcliffe, 1970), Kling–Gupta Efficiency (KGE) (Gupta et al., 2009) and Percent Bias (PBIAS) (Gupta et al., 2009). These criteria were used after calibration and validation in the catchment and for each sub period (Adeaga et al., 2012; Vansteenkiste et al., 2014).



Figure 1. Location of the Mono catchment.

4 Results and discussion

4.1 IHACRES and GR4J model model's performance and uncertainties

GR4J and IHACRES model performance analysis, uncertainties and optimal values are showing in Table 2. The performances values of such as KGE, NSE, R^2 and PBIAS after daily calibration and validation are summarized in Table 2. The acceptable values of KGE, NSE and R^2 are in bolt in the table below.

IHACRES model over the pre-dam have reliable value of KGE, NSE and R^2 higher than 0.5 whereas the PBIAS are minimized in the same period. During the second period of post-dam period, KGE, NSE and R^2 are only acceptable on the calibration (1989–1992) period while poor during the val-

idation period (1993–1997) model performances criterions are insignificant. The parameters after optimization of the model respect the range at 95 % significant level as the value plotted in Table 2.

4.2 IHACRES and GR4J model simulated and observed runoff evolution dynamic

The graphs of Fig. 2 indicate the evolution of daily areal rainfall, simulated and observed runoff over calibration and validation periods both for the two models. The result shows that the observed and simulated runoff values predicted by GR4J and IHACRES models follow exactly the areal rainfall patterns but present some small differences. The GR4J model overestimates discharges whereas IHACRES models

GR4J: criteria	KGE	NSE	<i>R</i> ²	PBIAS [%]
Calibration 1965–1968	0.94	0.88	0.83	13.38
Validation 1969–1973	0.82	0.80	0.90	20.03
Calibration 1989–1992	0.70	0.58	0.33	30.11
Validation 1993–1997	0.38	0.28	0.39	-3.99
Parameters	X_1	X_2	X_3	X_4
Period 1965-1973	290.04	-2.18	41.26	7.40
Period 1989-1997	432.68	-4.64	307.96	1.03
IHACRES:	KGE	NSE	R^2	PBIAS
criteria				[%]
Calibration 1965–1968	0.41	0.65	0.90	1.85
Validation 1969–1973	0.55	0.90	0.75	-15.71
Calibration 1989-1992	0.36	0.32	0.74	4.10
Validation 1993–1997	0.80	0.26	0.63	38.50
Parameters	t _w	$ au_{ m S}$	$ au_q$	f
Period 1965–1973	58.53	71.3	1.98	0.36
Period 1989-1997	100.00	82	2.10	5.86

Table 2. Model's performance – uncertainty and optimized parameters. Acceptable performance values are in bold.

66

Note: In GR4J model, X_1 is maximum capacity of production store (mm), X_2 is the groundwater exchange coefficient (mm); X_3 is the 1 d ahead maximum capacity of routing store (mm) and X_4 is the time peak ordinate of hydrograph unit (day) (Perrin et al., 2003). IHACRES model parameters: t_w is the drying rate reference temperature [%], τ_s is the time constant for slow flow stor (day), τ_q is the time constant for quick flow stor (day) and f is temperature to potential evapotranspiration conversion factor (Andrews et al., 2011).



Figure 2. Models observed and simulated discharge between 1965 and 1973.

underestimates over the period of calibration (1965–1968) and period of validation (1969–1973) as shown in Fig. 2.

The two models accurately simulated base flow in the catchment. The lowest runoff periods (1971, 1972 and 1973) which can be explained by the period of drought and the periods of floods (1967 and 1958) in Togo and in West Africa (Koubodana et al., 2020; Oguntunde et al., 2006). The models simulated well base flow over between 1964 and 1986. IHACRES model during pre-dam simulation presents reli-



Figure 3. Models observed and simulated discharge between 1988 and 1997.

able value of KGE, NSE and R^2 coefficients higher than 0.5 whereas and PBIAS are minimized in the same period.

During the second period of post-dam simulation the coefficients KGE, NSE and PBIAS are only acceptable on the calibration (1989–1992) period while poor during the validation period (1993–1997) as displayed in Fig. 3.

The model performances criterions are significant only for R^2 criteria. The parameters after optimization of the model respect the range at 95 % significant level as the value plotted in Table 2. According to the results, during the pre-dam period predicted runoff and areal rainfall dynamic are similar and the simulated runoff from IHACRES and GR4J models matches with observed runoff during calibration (1965-1968) and validation (1969–1973) periods with R^2 , NSE and KGE coefficient between 0.6 and 0.87. The performances of GR4J models are seen to be reliable and robust than IHACRES model between 1964 and 1986. This can be explained by model parameterization, assumptions and number of the parameters in the models. Indeed GR4J model has four parameters while IHACRES model has more than four parameters (Andrews et al., 2011; Perrin et al., 2001). Difference between the hydrograph of the two sub periods (1964-1986) and (1988-2010) maybe due to many factors responsible of runoff generation in tropical zone. According to Dubreuil (1985), the generation of runoff and subsurface runoff depend on spatial variation of rainfall, soil surface formations, texture and structure, vegetation cover, geological layers, drainage density, weathered material and climate and even on Nangbéto dam management. Other factor is the Nangbéto dam management which affects surface runoff dynamic in the downstream of the reservoir and particularly at Athiémé gauge stations.

5 Conclusion

This study has assessed Mono catchment surface runoff using two rainfall-runoff models over the period before dam installation (1964–1986) and after dam installation (1988– 2010). The lumped rainfall-runoff IHACRES and GR4J models were successfully calibrated and validated over the two sub-periods using multi-objectives functions. The results show that at daily time step, GR4J model overestimates the observation whereas IHACRES model underestimates observations during pre-dam installation period (1964–1986). However, over post-dam installation period (1988-2010), there is a weak prediction performance of observation with a shift by GR4J model while IHACRES model is underestimating observations. Study has deduced that runoff generation is not linear to rainfall variability in the catchment and maybe influences by anthropogenic and natural factors. Dam management has influences on surface runoff after the year 1987 and the catchment response depends strongly on the data used for the model used parameterization before or after Nangbéto dam installation in 1987. Study suggested a semi-distributed model for an accurate simulation of water components in the catchment for further analysis.

Data availability. All climatic and hydrological data used in the research were collected from Togolese and Beninese Meteorological and hydrological services. The data can be obtained from DGMN-Togo, DGEA-Togo, METEO-Benin and DGEau.

Author contributions. HDK, KA, JGA and EA initiated the concept, HDK collected, analyzed the data and wrote the first manuscript. DJK, DK, KYA, YL and KEP contributed in the manuscript and KA, JGA and EA supervised the work.

Competing interests. The contact author has declared that neither they nor their co-authors have any competing interests.

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