



# Response of streamflow to climate change in a sub-basin of the source region of the Yellow River based on a tank model

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Received: 19 December 2017 - Revised: 6 February 2018 - Accepted: 11 February 2018 - Published: 5 June 2018

Abstract. Though extensive researches were conducted in the source region of the Yellow River (SRYR) to analyse climate change influence on streamflow, however, few researches concentrate on streamflow of the subbasin above the Huangheyan station in the SRYR (HSRYR) where a water retaining dam was built in the outlet in 1999. To improve the reservoir regulation strategies, this study analysed streamflow change of the HSRYR in a mesoscale. A tank model (TM) was proposed and calibrated with monthly observation streamflow from 1991 to 1998. In the validation period, though there is a simulation deviation during the water storage and power generation period, simulated streamflow agrees favourably with observation data from 2008 to 2013. The model was further validated by two inside lakes area obtained from Landsat 5, 7, 8 datasets from 2000 to 2014, and significant correlations were found between the simulated lake outlet runoff and respective lake area. Then 21 Global Climate Models (GCM) ensembled data of three emission scenarios (SRA2, SRA1B and SRB1) were downscaled and used as input to the TM to simulate the runoff change of three benchmark periods 2011–2030 (2020s), 2046–2065 (2050s), 2080–2099 (2090s), respectively. Though temperature increase dramatically, these projected results similarly indicated that streamflow shows an increase trend in the long term. Runoff increase is mainly caused by increasing precipitation and decreasing evaporation. Water resources distribution is projected to change from summer-autumn dominant to autumn winter dominant. Annual lowest runoff will occur in May caused by earlier snow melting and increasing evaporation in March. According to the obtained results, winter runoff should be artificially stored by reservoir regulation in the future to prevent zero-flow occurrent in May. This research is helpful for water resources management and provides a better understand of streamflow change caused by climate change in the future.

# 1 Introduction

Recently, climate change (IPCC, 2007) and uncertainties of global water resources change caused draw extensive attention all over the world (Taylor et al., 2013; Bae et al., 2008; Lan et al., 2009). As the water tower of Asia (Immerzeel et al., 2010), the Tibetan Plateau is sensitive to climate change (Su et al., 2016; Li et al., 2014; Yang et al. 2012; Li et al., 2013). The Yellow River, originates from the Tibetan Plateau, is the second longest river of China and the fifth longest river of the world. Water resources and runoff response to climate change of the Yellow River basin have been extensively researched (Yang et al., 2004; Fu et al.,

2004; Chang et al., 2007), especially in the head water of the Yellow River (Wang and Cheng, 2000; Tang et al., 2008; Zheng et al., 2009, 2010; Liang et al., 2010; Lan et al., 2010, 2013). Above Huangheyan station, two lakes which play a role as nature reservoirs for the basin located in the topside source region of the Yellow River (HSRYR) with area about 21 000 km<sup>2</sup> (Liang et al., 2010; Brierley et al., 2016). Because of water shortage and zero-flow phenomenon in 1990s, a 20 m-high Huangheyan Dam was built at the Ngoring Lake downstream, during 1999 and early 21st century (Liang et al., 2010; Brierley et al., 2010; Brierley et al., 2010; Brierley et al., 2010; Brierley et al., 2016), to conserve enough water for the ecological environment maintenance. Though projections of runoff change were proposed by inputting the GCMs

data to a calibrated model (Immerzeel et al., 2010; Xu et al., 2009), these researches conducted at a largescale more than 100 000 km<sup>2</sup> area is not suitable for water resources management of a mesoscale basin like the HSRYR. Reasonable regulation of the Huangheyan dam in the climate changing future is important. Tank model were first proposed by Sugawara and Maruyama (1956), a typical runoff model with a brief model structure requires less data and gets a good performance in simulation and forecast (Franchini and Pacciani, 1991).

This study aims to establish a semi-distributed model by connecting several typical tank models. GCMs data are input into the built and calibrated model to assess the runoff in 21st century. This study will offer a reference for dam regulation strategy and water resources management in the sub-basin above Huangheyan station.

#### 2 Study area background and data processing

## 2.1 Study area background

The HSRYR with drainage area about  $21\,000\,\text{km}^2$  is a topside sub-basin of the source region of the Yellow River above Huangheyan hydrological station (HSRYR) which is embraced by high mountains from the north, south, to west sides (Fig. 1). Three meteorological stations are around or inside this area, from southwest to northeast is Qumalai, Qingshuihe and Maduo, respectively. This is a cold and arid area with an annual mean air temperature of  $-4 \,^{\circ}\text{C}$  and annual mean precipitation of 310 mm. The potential evapotranspiration is about 1300–1400 mm year<sup>-1</sup> (Liang et al., 2010).

The two major lakes, Gyaring and Ngoring, show an expansion trend in the past decade (Duan et al., 2015). Huangheyan dam is about 20 m-height which located at 1km away from Ngoring lake outlet (Brierley et al., 2016). Previously, the dam had been used for hydroelectric generation and water regulation, but recently it has only been used to store water due to low power generation efficiency. However, if the cut off phenomenon will show again in this area is still unknown. Notably, there is a runoff disturbed period caused by dam construction activity and power generation during 1999 to early 21st century.

#### 2.2 Data processing

Monthly runoff data from 1990–2013 are obtained from the Yellow River Conservancy Commission (YRCC). Daily precipitation, air temperature and wind speed at 10 m height, relative humidity and sunshine duration data between 1961 and 2013 at the three meteorological stations are collected from the China Meteorological Administration (CMA). These data are applied to derive monthly mean air temperature (T), monthly positive accumulated temperature  $(\sum T^+)$  monthly precipitation (P) and snowfall (P<sub>s</sub>). The potential evapotranspiration is calculated with the FAO Penman-Monteith equa-



Figure 1. Stations distribution and study area topography.

tion (Allen et al., 1998). 21 Global Climate Models (GCMs) are selected as shown in Table 1. Due to uncertainty of each model projection, mean value of 21 GCMs output data under three emission scenarios (SRA2, SRA1B and SRB1), including shortwave flux at surface (W m<sup>-2</sup>) ( $\Delta R_s$ ), precipitation rate change (mm day<sup>-1</sup>) and air temperature change( $\Delta T$ ) are used as ensembled data in this study. Mean value of respective 20C3M output precipitation rate  $P'_0$  (mm day<sup>-1</sup>) during 1961 to 1990 is used as reference value. Landsat 5, 7, 8 datasets of July or August from 2000 to 2014 are used to extract lake area for further validation of model distribution ability.

#### 3 Methods

# 3.1 Model construction and mechanism

As shown in Fig. 2, snow, surface runoff and baseflow are considered in each land surface hydrologic response unit (HRU). Parameters described in Fig. 2 and used in the following equations are shown in Table 2.

Snowfall and rainfall are distinguished based on critical temperature  $T_c$ , expressed as:

$$P_{\rm s}(i) = \begin{cases} 0, & T(i) > T_{\rm c} \\ P(i), & T(i) > T_{\rm c} \end{cases}$$
(1)

Snow accumulation is indicated by following equations:

$$h_{s}(i) =$$

$$\begin{cases}
T(i) > T_{c}: \\
T(i) < T_{c}: \\
T(i) \leq T_{c}: \\
N_{s}(i-1) < M_{s}(i) + E_{s}(i): \\
h_{s}(i-1) - M_{s}(i) - E_{s}(i), \\
h_{s}(i-1) < M_{s}(i) + E_{s}(i): 0, \\
T(i) \leq T_{c}: \\
h_{s}(i-1) + P_{s}(i) - E_{s}(i), \\
\end{cases}$$
(2)

 $h_{\rm s}$  is accumulated snow relative depth (mm) in snow water equivalent (SWE) form.

Table 1. Details of selected model and respective output scenarios.

Institute ID	Model name	Output scenarios of each model						
BCCR	BCM2	20C3M	SRA2	SRA1B	SRB1			
CCCMA	CGCM3_1-T47	20C3M	SRA2	SRA1B	SRB1			
CNRM	CM3	20C3M	SRA2	SRA1B	SRB1			
CSIRO	MK3	20C3M	SRA2	SRA1B	SRB1			
CONS	ECHO-G	20C3M	SRA2	SRA1B	-			
LASG	FGOALS-G1_0	20C3M	-	SRA1B	SRB1			
GFDL	CM2	20C3M	SRA2	SRA1B	SRB1			
GFDL	CM2_1	20C3M	SRA2	SRA1B	SRB1			
NASA	GISS-AOM	-	-	SRA1B	SRB1			
NASA	GISS-EH	-	-	SRA1B	-			
NASA	GISS-ER	20C3M	SRA2	SRA1B	SRB1			
UKMO	HADCM3	20C3M	SRA2	SRA1B	SRB1			
UKMO	HADGEM1	20C3M	SRA2	SRA1B	-			
INM	CM3	20C3M	SRA2	SRA1B	SRB1			
IPSL	CM4	-	SRA2	SRA1B	SRB1			
NIES	MIROC3_2-HI	20C3M	-	SRA1B	SRB1			
NIES	MIROC3_2-MED	20C3M	SRA2	SRA1B	SRB1			
MPIM	ECHAM5	20C3M	SRA2	SRA1B	SRB1			
MRI	CGCM2_3_2	20C3M	SRA2	SRA1B	SRB1			
NCAR	CCSM3	20C3M	SRA2	SRA1B	SRB1			
NCAR	PCM	20C3M	SRA2	SRA1B	-			



Figure 2. Typical tank model constructions, panels (a) and (b) indicate land surface HRU and lake HRU, respectively.

Snowmelt is calculated by Eq. (3)

$$M_{s}(i) = (3)$$

$$\begin{cases}
T(i) > T_{c}: \\
T(i) \le T_{c}: \\
T(i) \le T_{c}: \\
0
\end{cases}$$

$$M_{s}(i-1) \ge M_{sp}(i) + E_{sp}(i): M_{sp}(i), \\
h_{s}(i-1) < M_{sp}(i) + E_{sp}(i): h_{s}(i-1), \\
(1) \le T_{c}: \\
0
\end{cases}$$

 $M_{\rm sp}(i)$  is potential snowmelt water as indicated in Eq. (4). And the potential snow sublimation is given by Eq. (5):

$$M_{\rm sp}(i) = \alpha \cdot \sum \left( T^+ - T_{\rm c} \right) \tag{4}$$

$$E_{\rm sp}(i) = \beta \cdot \sum T^+ \tag{5}$$

Surface runoff is calculated by Eq. (6) based on different water depth.

$$q(i) = \begin{cases} 0, & H(i-1) < 0\\ b_1 \cdot H(i-1), & 0 < H(i-1) \le h_0\\ b_1 \cdot H(i-1) & \\ +b_2 \cdot (H(i-1) - h_0), & H(i-1) > h_0 \end{cases}$$
(6)

Surface water infiltration is indicated as follow:

$$F(i) = b_0 \times H(i) \tag{7}$$

Baseflow is given by Eqs. (8) and (9):

$$q_{g}(i) = \begin{cases} d \cdot (h_{g}(i) - h_{2}), & h_{g}(i) > h_{2} \\ 0, & h_{g}(i) > h_{2} \end{cases}$$
(8)

As Fig. 2 indicated lake HRU plays a role as a regulate reservoir which outlet runoff is calculated by following equations:

$$q_{\rm l}(i) = \tag{9}$$

$$\left\{ \begin{array}{l} T\left(i\right) > T_{\rm c}: \\ T\left(i\right) > T_{\rm c}: \\ T\left(i\right) > T_{\rm c}: \\ T\left(i\right) = T_{\rm c}: \\ T\left(i\right) \leq T_{\rm c}: \\ \end{array} \right. \left\{ \begin{array}{l} h_{1}(i-1) < h_{11}: 0, \\ h_{11} \leq h_{1}(i-1) - h_{11}), \\ h_{12} \leq h_{1}(i-1) - h_{11}), \\ h_{12} \leq h_{1}(i-1) = h_{11} + h_{11} +$$

Snow accumulated depth, water depth of each step can be calculated by solving following equation by implicit finite difference method.

Time-varying values of snow depth, land surface water depth, baseflow and lake water depth are given by Eqs. (10), (11), (12) and (13) respectively:

$$\frac{\mathrm{d}h_{\mathrm{s}}}{\mathrm{d}t} = P_{\mathrm{s}} - E_{\mathrm{s}} - M_{\mathrm{s}} \tag{10}$$

$$\frac{dH}{dt} = P_{\rm l} + M_{\rm s} - E_{\rm ls} - F + q_{\rm in} - q \tag{11}$$

$$\frac{\mathrm{d}h_{\mathrm{g}}}{\mathrm{d}t} = F - q_{\mathrm{g}} \tag{12}$$

$$\frac{dH_1}{dt} = P - E_1 + q_{\rm in} - q_1 \tag{13}$$

Land surface actual evaporation and lake water evaporation are calculated from:

$$E = \begin{cases} E_{1s} = k_1 \cdot E_0 \\ E_1 = k_2 \cdot E_0 \end{cases}$$
(14)

Then total outlet runoff is transformed into  $m^3 s^{-1}$  unit.

## 3.2 Model performance evaluation

Volume difference  $(D_v)$ , and Nash–Sutcliffe efficiency  $(R^2)$  are used to evaluate the model performance defined as following:

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (Q_{i} - Q'_{i})^{2}}{\sum_{i=1}^{n} (Q_{i} - \overline{Q})}$$
(15)

$$D_{\rm v} = \frac{V_{\rm R} - V_{\rm R}'}{V_{\rm R}} \cdot 100\,\% \tag{16}$$

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Snow model (Eqs. 1, 2, 3, 4, 5, 10) $P$ $P_5$ mmPrecipitation Snowfall in SWE form Rainfall $P_4$ $h_8$ Snow depth in SWE form $M_{sp}$ Nowmelt in SWE form $M_{sp}$ $E_{sp}$ Potential snow sublimation in SWE form $R_{sp}$ $M_{sp}$ $E_s$ Snow depth in SWE form $M_{sp}$ $E_s$ Potential snow sublimation in SWE form $M_{sp}$ $E_s$ Snow sublimation in SWE form $M_{sp}$ $E_s$ Snow sublimation in SWE form $M_s$ $E_s$ Snow sublimation coefficientSurface Runoff (Eqs. 6, 11, 14) $q$ $H$ $E_{1s}$ $M_{trace}$ $Mm$ $M_{trace}$ $E_{1s}$ Land surface actual evaporation $h_1$ $M_{trace}$ $E_{1s}$ Critical value for surface runoff generation $h_1$ $h_1$ Critical value for peak runoff $M_{trace}$ $M_{trace}$ MmInfiltration and Baseflow $(Eqs. 7, 8, 12)$ Mm $M_{trace}$ $M_{2}$ - $M_{trace}$ $M_{2}$ $M_{trace}$ $M_{2}$ - $M_{trace}$ $M_{trace}$ $M_{trace}$ $M_{trace}$ $M_{trace}$ - $M_{trace}$ $M_{2}$ $M_{trace}$ $M_{2}$ $M_{trace}$ - $M_{trace}$ $M_{trace}$ $M_{trace}$ $M_{trace}$	Model constructions	Parameters	Unit	Descriptions
$ \begin{array}{c c c c c c c c c } & \alpha & mm (^{\circ}C)^{-1} day^{-1} & Degree-day factor \\ Snow sublimation coefficient \\ \hline \\ Surface Runoff & q & mm & Surface runoff \\ (Eqs. 6, 11, 14) & H & Water depth of surface tank \\ E_{1s} & Land surface actual evaporation \\ h_0 & Critical value for surface runoff generation \\ h_1 & Critical value for peak runoff \\ \hline \\ \hline \\ k_2 & - & Land surface evaporation conversion coefficient \\ h_1 & Runoff coefficient for normal runoff generation \\ h_2 & Runoff coefficient for normal runoff generation \\ \hline \\ k_2 & - & Land surface evaporation conversion coefficient \\ h_1 & Runoff coefficient for peak value \\ \hline \\ Infiltration and Baseflow \\ (Eqs. 7, 8, 12) & h_g & Water depth of underground tank \\ q_g & Base flow \\ h_2 & Critical value for base flow generation \\ \hline \\ h_0 & - & Infiltration coefficient \\ d & Base flow coefficient \\ \hline \\ Lake model & q_1 & mm & Lake outlet runoff \\ (Eqs. 9, 13, 14) & E_1 & Lake water evaporation \\ \hline \\ h_1 & Water depth of lake tank \\ h_{11} & Critical value for lake outlet runoff coefficient for peak value \\ \hline \\ \hline \\ k_2 & - & Water evaporation conversion coefficient \\ \hline \\ h_1 & Water depth of lake tank \\ h_{11} & Critical value for lake outlet runoff coefficient for peak value \\ \hline \\ $	Snow model (Eqs. 1, 2, 3, 4, 5, 10)	$P$ $P_{s}$ $P_{l}$ $h_{s}$ $M_{sp}$ $M_{s}$ $E_{sp}$ $E_{s}$	mm	Precipitation Snowfall in SWE form Rainfall Snow depth in SWE form Potential snowmelt in SWE form Snowmelt in SWE form Potential snow sublimation in SWE form Snow sublimation in SWE form
Surface Runoff (Eqs. 6, 11, 14) $q$ mmSurface runoff Water depth of surface tank Land surface actual evaporation $h_0$ Critical value for surface tank critical value for surface runoff generation Critical value for peak runoff $h_1$ Critical value for peak runoff $k_2$ - $h_1$ Land surface evaporation conversion coefficient $b_1$ $b_1$ Runoff coefficient for normal runoff generation $b_2$ Infiltration and Baseflow (Eqs. 7, 8, 12) $F$ $h_g$ Water depth of underground tank $q_g$ $q_g$ Base flow $h_2$ $Lake model$ $q_1$ $(Eqs. 9, 13, 14)$ $E_1$ $Lake model$ $q_1$ $h_{11}$ Critical value for lake tank $h_{11}$ $Lake outlet runoff$ $Lake outlet runoffLake outlet runoff generationh_{12}Lake outlet runoff coefficient for peak valuek_2-k_2-k_2-k_2-k_2-k_1Critical value for lake outlet runoffLake outlet runoff generationh_{12}k_2-k_2-k_2-k_2-k_2-k_2-k_2-k_2-k_2-k_2-k_2-k_3-k_4-k_2-k_3-k_4-k_4-k_4-$		lpha $eta$	$\operatorname{mm}(^{\circ}\mathrm{C})^{-1}\operatorname{day}^{-1}$	Degree-day factor Snow sublimation coefficient
$k_2$ b_1 b_2-Land surface evaporation conversion coefficient Runoff coefficient for normal runoff generation 	Surface Runoff (Eqs. 6, 11, 14)	$q$ $H$ $E_{ls}$ $h_0$ $h_1$	mm	Surface runoff Water depth of surface tank Land surface actual evaporation Critical value for surface runoff generation Critical value for peak runoff
Infiltration and Baseflow (Eqs. 7, 8, 12) $F$ mmInfiltration water Water depth of underground tank Base flow Critical value for base flow generation $h_g$ $G$ $H_g$ $H_g$ $H_g$ $H_g$ $h_2$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$ $h_2$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$ $h_2$ $H_g$ $H_1$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$ $H_g$		$ \begin{array}{c} k_2 \\ b_1 \\ b_2 \end{array} $	_	Land surface evaporation conversion coefficient Runoff coefficient for normal runoff generation Runoff coefficient for peak value
$b_0$ -Infiltration coefficient $d$ $b_0$ -Infiltration coefficientLake model $q_1$ mmLake outlet runoff(Eqs. 9, 13, 14) $E_1$ Lake water evaporation $H_1$ Water depth of lake tank $h_{11}$ Critical value for lake outlet runoff generation $h_{12}$ Lake outlet runoff coefficient for peak value $k_2$ - $c_0$ Lake outlet runoff coefficient for normal runoff generation $c_1$ Lake outlet runoff coefficient for peak valueCommon Parameters $T^+$ °C°CPositive temperatureTCritical temperature for melt	Infiltration and Baseflow (Eqs. 7, 8, 12)	$F \\ h_{ m g} \\ q_{ m g} \\ h_2$	mm	Infiltration water Water depth of underground tank Base flow Critical value for base flow generation
Lake model (Eqs. 9, 13, 14) $q_1$ mmLake outlet runoff Lake water evaporation H1 $H_1$ $H_1$ Water depth of lake tank $h_{11}$ $h_{12}$ Lake outlet runoff coefficient for peak value $k_2$ - $c_0$ Lake outlet runoff coefficient for normal runoff generation Lake outlet runoff coefficient for normal runoff generation Lake outlet runoff coefficient for peak valueCommon Parameters $T^+$ °C°CPositive temperature Critical temperature Critical temperature		$b_0$ d	-	Infiltration coefficient Base flow coefficient
$k_2$ -Water evaporation conversion coefficient $c_0$ Lake outlet runoff coefficient for normal runoff generation $c_1$ Lake outlet runoff coefficient for peak valueCommon Parameters $T^+$ °C $c_1$ Positive temperature $c_1$ Critical temperature for melt	Lake model (Eqs. 9, 13, 14)	$q_{1}$ $E_{1}$ $H_{1}$ $h_{11}$ $h_{12}$	mm	Lake outlet runoff Lake water evaporation Water depth of lake tank Critical value for lake outlet runoff generation Lake outlet runoff coefficient for peak value
Common Parameters $T^+$ °CPositive temperatureTCritical temperature for malt		$\begin{array}{c} k_2\\ c_0\\ c_1 \end{array}$	-	Water evaporation conversion coefficient Lake outlet runoff coefficient for normal runoff generation Lake outlet runoff coefficient for peak value
r <sub>c</sub> Critical temperature for men	Common Parameters	$T^+$ $T_c$	°C	Positive temperature Critical temperature for melt
<i>i</i> month Time step indicator		i	month	Time step indicator

Table 2. Parameters and descriptions for the built model.

 $R^2$  is Nash–Sutcliffe efficiency  $Q_i$  is observed monthly runoff,  $Q'_i$  simulated monthly runoff,  $\overline{Q}$  is observed annual mean runoff, *n* is the total number of simulated months.  $D_v$ is volume difference between observed runoff and simulated runoff.  $V_R$  indicates total observed runoff volume.  $V'_R$  indicates total simulated runoff volume.

There are five HRUs included in this study, land surface HRUs: HRU 1, HRU 2 and HRU 3. Two Lakes HRUs: HRU G and HRU N. Each HRU is represented by one typical tank model, the model connection is based on flow direction as shown in Fig. 3.

# 3.3 GCMs data downscaling

There are several normally used downscaling methods for GCMs data: statistical downscaling method, dynamic downscaling method and delta method (Xu et al., 2009). But different method has different shortage and advantage (Fowler et al. 2007). Delta method is a simple method commonly applied in hydrological studies of climate change (Merritt et al., 2006). In this study delta method is selected instead of complex spatial downscaling mothed.

Precipitation is projected by:

 $P = P_0 \cdot \left(1 + \Delta P / P_0'\right)$ 



Figure 3. Connection of typical tank models in built model.

 $P_0$  (mm) is observed monthly precipitation of prechange period (1961–1990),  $P'_0$  reference precipitation rate (mm day<sup>-1</sup>) of period 1961–1990 obtained from mean value of GCMs under scenario 20C3M,  $\Delta P$  (mm day<sup>-1</sup>) is the mean value of 21 GCMs projected change of precipitation rate under respective scenarios.

For temperature projection:

$$T = T_0 + \Delta T \cdot \frac{^{\circ}\mathbf{C}}{\mathbf{K}}$$
(18)

 $T_0$  (°C) is monthly pre-change value of temperature (mean value of 1961–1990),  $\Delta T(K)$  is the projection change of temperature.

$$R_{\rm s} = \frac{\Delta R_{\rm s} \times 86\,400}{1\,000\,000} + R_{\rm s0} \tag{19}$$

 $R_{s0}$  (MJ (m<sup>2</sup> × day)<sup>-1</sup>) is monthly mean value of pre-change period (1961–1990) obtained from FAO Penman-Monteith equation (Allen et al., 1998).  $\Delta R_s$  (W m<sup>-2</sup>) is mean value of 21 GCMs projected shortwave flux change at surface under respective scenarios.

# 3.4 Projection of $\sum T^+$ and $E_0$

Monthly accumulated positive temperature  $\sum T^+$  is significantly correlated with monthly mean temperature as shown in Fig. 4. By using the piecewise equation shown in Fig. 4,  $\sum T^+$  can be obtained from monthly mean temperature (*T*). Potential evaporation( $E_0$ ) is calculated by linear correlation with projected monthly mean temperature, and solar radiation (Table 4).

#### 4 Results and discussions

#### 4.1 Results of simulation

Monthly comparisons between the simulated runoff and observed runoff in the calibration period (1990-1998) and validation period (2000-2013) are shown in Fig. 5. As the red line in Fig. 5a and red points in Fig. 5c indicated, the runoff is obviously disturbed during dam construction and hydropower generation period. After disturbed period, simulated runoff variation agrees favourably with the observation runoff except slight overestimation (Fig. 5a and b). The model performance in calibration period:  $R^2$ : 0.84,  $D_v$ : -0.5 %, in period 2008–2013:  $R^2$ : 0.67,  $D_v$ : -24.88 %. Generally model performance is very good if  $R^2 > 0.75$ , satisfactory if  $0.36 < R^2 < 0.75$ , and unsatisfactory if  $R^2 < 0.36$  (Nash and Sutcliffe, 1970; Krause et al., 2005; Moriasi et al., 2007). The  $D_{\rm v}$  is about -25 % which indicates overestimation. But it is reasonable, because there will be more water conserved in the reservoir after the a 20 m-height dam built.

## 4.2 Lakes expansion and relationship with respective outlet runoff

Figure 6 indicates variation of the lake area (Fig. 6b), in the past decade expansion of Ngoring lake is more obvious than Gyaring lake (Fig. 6a). The two lakes show a similar change pattern, lake area shrank at first (2000–2004) and then expand (2004–2012). It is caused by water resources change in this area, the last zero-flow phenomenon happened in 2004 (Chang et al., 2007), and runoff rise again from 2004 to 2012. Though this model is only calibrated by runoff of Huangheyan station, simulated outlet runoff of the two lakes is significantly correlated with the lake area change (Fig. 7) that indicate a distribution characteristic of this model.

## 4.3 Results of projection

Change of projected  $\Delta P$  (%),  $\Delta E_0$  (%),  $\Delta R_s$  (W m<sup>-2</sup>) and  $\Delta T$  (°) in the three respective periods under scenarios SRA2, SRA1B and SRB1 are displayed in Fig. 8. Three scenarios similarly indicate that temperature and precipitation will increase, but radiation will decrease in the future. Temperature and precipitation is projected to increase for all seasons but with largest increase appearing in winter and spring. Radiation is projected to decease all the time but with largest decrease appearing in spring. Impacted by change of temperature and radiation, potential evapotranspiration is projected to decrease in summer and winter but increase in spring and autumn. Though projected variations under different scenarios show a similar seasonality, the projected increment and decrement are different resulted from different emission scenarios. Radiation and temperature projected by SRA2 show the largest change among the three scenarios, but precipitation and potential evapotranspiration projected by SRB1 show a largest change compare to the other two scenarios.



**Figure 4.** Relationship between monthly mean temperature and monthly positive accumulated temperature (1961–2013). Panels (**a**, **b**, **c**) indicate Qumalai, Qingshuihe and Maduo station, respectively.



Figure 5. Comparison between simulation and observation runoff.

Variables projected by SRA1B show a moderate change. These are resulted from different scenarios setting different future greenhouse gas emissions accompanied by storylines of social, economic and technological development (Parry and Cox, 2007).

Projected runoff in 2011–2030 (2020s), 2046–2065 (2050s), 2080–2099 (2090s) under the three scenarios SRA2,

SRA1B and SRB1 are shown in Fig. 9a, b and c, respectively. There are two dash line, blue and purple, indicate prechange period (1961–1990) and low-flow (1990s) period, respectively. Results in Fig. 9 similarly indicated that runoff shows an increase trend in the long term. Increasing runoff is mainly caused by dramatically increase precipitation. Projected runoff of SRA1B and SRB1 are lower than SRA2 but



Figure 6. Lakes expansion and area change shown in panels (a) and (b), respectively.



Figure 7. Relationship between lake area and respective outlet runoff.

showed a similar trend as SRA2 in the three periods (Fig. 9c). Runoff is projected to increase eventually in the future but won't return to a pre-change level. As indicated by results of SRA2 (Fig. 9b), runoff of August to December will reach to a pre-change level in 2090s, but runoff of January to July in 2090s is lower than pre-change period. Annual runoff is projected to return in 2090s, but runoff in spring and summer is projected to be lower than pre-change period. Water resources distribution is projected to change from summerautumn dominant to autumn winter dominant. Temperature increase in winter-spring and potential evapotranspiration increase in spring cause earlier snowmelt runoff and increase evapotranspiration in spring. Annual lowest runoff is projected to occur in May as different from the winter months, as seen in the 1990s (Fig. 9).

#### 4.4 Will zero-flow show again?

The last zero-flow phenomenon occurred in 2004 January to March (Chang et al., 2007). In the future, precipitation is projected to increase in winter and earlier snow melting will occur which result in higher winter runoff than before (Fig. 8), the zero flow won't occur in winter again. And there is no zero-flow phenomenon in projected results of different periods under the three scenarios. Due to increase temperature in winter, frozen water resources will release earlier than before which will cause less melt water recharge in May runoff and a relative lower runoff appearing in May. If the dam impacts are taken into consideration, zero-flow phenomenon is possible show again in May in the warming future. To prevent appearing of zero-flow phenomenon, artificially regulate the dam to store water in winter is imperative.





Figure 8. Projected climatic elements of the three periods.

Table 3. Calibrated parameters.

Param	eters	Area	$H_{(l)}(0)$	$h_0$	$h_1$	$h_2$	$h_{g(s)}(0)$	$h_{10}$	<i>h</i> <sub>11</sub>	T <sub>c</sub>	α	β	$k_{1(2)}$	$b_0$	$b_1$	$b_2$	d	<i>c</i> <sub>0</sub>	<i>c</i> <sub>1</sub>
HRU	Unit	km <sup>2</sup>				1	mm			°C	mm (°C	$(2)^{-1} day^{-1}$				_			
Land	HRU 1	9003.5	10	10	0	10	10	-	-	0	0.12	0.18	0.55	0.05	0.01	0.1	0.1	-	_
	HRU 2	8059	10	10	0	10	10	-	-	0	0.12	0.18		0.06	0.01	0.1	0.4	-	-
	HRU 3	2821	10	10	0	10	10	-	-	0	0.12	0.18		0.31	0.1	0.22	0.2	-	-
Lake	HRU G	526	7000	-	-	-	0	6000	8400	0	-	-	0.75	-	-	-	-	0.01	0.9
																		0.001*	
	HRU N	610.7	10 000	-	-	-	0	10 000	17000	0	-	-		-	-	-	-	0.01	0.9
																		0.001*	

\* Coefficient for frozen lake water seepage in winter (T < 0).

## 4.5 Limitation remarks

Due to low people density  $(0.34 \text{ person km}^{-2})$  (Liang et al., 2010) and difficulty of estimating dam construction and hydropower generation impacts, observed runoff used in this study was not naturalized first. However, this model is well calibrated by undisturbed runoff from 1990–1998 and then

validated by 2008–2013 runoff and lake area obtained from Landsat datasets.

Only two variables are involved in  $E_0$  projection in this study, but as shown in Table 3, a strong relationship existed between  $R_s$  and T. For  $\sum T^+$  projection, when daily air temperature is positive for in the whole month, monthly positive



Figure 9. Projected runoff of different scenarios.

Table 4. Regression Model for potential evaporation projection.

Stations	Intercept	Monthly T	R <sub>s</sub>	Significant F	<i>R</i> <sup>2</sup>
Qumalai	0.100	0.065	0.126	0	0.99
Qingshuihe	0.238	0.062	0.112	0	0.99
Maduo	0.214	0.067	0.118	0	0.99

accumulated temperature should be calculated from:

$$\sum T^+ = \text{days} \cdot T \tag{20}$$

But the deviation is negligible, the results will be same as which obtained the linear equation of piecewise equations in Fig. 4.

Frozen ground is widely distributed in this area (Jin et al., 2010) which degradation in the warming future will impact runoff generation (Hayashi et al., 2003; Shanley and Chalmers, 1999; Wang et al., 2009). But few conception models consider impacts of frozen ground and its degradation on runoff generation. It needs a further and deeply study to conceptualize the physical process into conception model.

# 5 Conclusions

In this study, the built model connected several typical tank models showed a good performance in runoff simulation and projection. And it can be used as a semi-distribution model proved by significantly correlation with the lake area change. Different projections obtained by different scenarios that improve uncertainties of water resources change in the future, but there are similarities:

- a. Compared to low-flow period (1990s), the projected runoff shows a recovery trend in the long term.
- b. Runoff distribution will change from summer-autumn dominant to autumn-winter dominant. In the future, annual lowest runoff will occur in May rather than in the winter.
- c. Though there is no zero-flow showed among these projections, but zero-flow is possible to occur in May by considering dam impacts. Reservoir regulation strategies should be made for storing winter runoff to prevent zero-flow phenomenon in May.

This study is helpful for water resources management and provides a better understand of streamflow change caused by climate change in the future

**Data availability.** GCMs data are obtained from IPCC Data Distribution Centre: http://www.ipcc-data.org/sim/gcm\_clim/SRES\_ AR4/index.html.

The availability of other data are mentioned in Sect. 2.2.

**Competing interests.** The authors declare that they have no conflict of interest.

**Special issue statement.** This article is part of the special issue "Innovative water resources management – understanding and balancing interactions between humankind and nature". It is a result of the 8th International Water Resources Management Conference of ICWRS, Beijing, China, 13–15 June 2018.

Acknowledgements. This research was supported by the China Geological Survey from 2000, the National Natural Science Foundation of China (41330634, 41072191, 91125011) and the Fundamental Research Funds for Central Universities. The authors are grateful to the two reviewers for their insightful and constructive comments which have greatly improved the quality of the paper.

Edited by: Wenchao Sun

Reviewed by: Shaowei Ning and one anonymous referee

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